1	UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY
2	FOR THE DISTRICT OF NEW CERSET
3	PAR PHARMACEUTICAL, INC., CIVIL ACTION NUMBER:
4	PAR STERILE PRODUCTS, LLC, and ENDO PAR INNOVATION 3:18-cv-14895-BRM-DEA
5	COMPANY, LLC,
6	MARKMAN HEARING Plaintiffs,
7	v.
8	SANDOZ, INC.,
9	Defendants.
10	Clarkson S. Fisher Building & U.S. Courthouse 402 East State Street
11	Trenton, New Jersey 08608
12	January 21, 2020 Commencing at 9:32 a.m.
13	B E F O R E: THE HONORABLE BRIAN R. MARTINOTTI,
	UNITED STATES DISTRICT JUDGE
14	
14 15	APPEARANCES:
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           (PROCEEDINGS held in open court before The Honorable
 2
    BRIAN R. MARTINOTTI, United States District Judge, on January,
 3
    21, 2020, at 9:32 a.m.)
 4
             THE COURT: Okay. Good morning, everyone.
 5
    be seated.
 6
             MR. BLACK: Good morning, Your Honor.
 7
             THE COURT: Counsel, your appearances for the record.
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             MR. RHOAD: Good morning, Your Honor. Robert Rhoad
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    from Dechert, LLP here on behalf of the plaintiffs. With me
10
    here this morning is Martin Black, a colleague of mine, as
11
    well as Sharon Gagliardi.
12
             MR. ABRAHAM: Good morning, Your Honor. My name is
13
    Eric Abraham from Hill Wallack in Princeton, New Jersey.
                                                              I'd
14
    like to introduce the Court to my co-counsel, Mark Remus.
15
             MR. REMUS: Good morning, Judge.
16
             MR. ABRAHAM: Laura Lydigsen. I'd also like to
17
    introduce the Court to my client, Paki Banky, from Sandoz and
18
    also Nakul Shah, a young associate at my firm Hill Wallack.
19
             THE COURT: Welcome.
20
             MR. RHOAD: So Your Honor is aware, our client, Guy
21
    Donatiello, is here as well this morning.
22
             THE COURT: Welcome. You're going to go visit Judge
23
    Arpert after this, I believe.
24
             MR. BLACK: Yes, sir.
25
             THE COURT: What did we block out? Two hours?
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1
             THE DEPUTY COURT CLERK: Yes, sir.
 2
             THE COURT: So I have read everything over the
 3
    weekend, twice. This is your hearing. I'll let you proceed
 4
    as you see fit.
 5
             MR. BLACK: Thank you, Your Honor.
           Martin Black. I think what we'd like to do is we have
 6
 7
    four terms, and we've agreed to argue term by term. So I will
    start by addressing just to give you a little brief background
 9
    information and then jump into the first term, administering,
10
    and then they'll respond to that and then we'll ping-pong back
11
    and forth.
12
             THE COURT: Why shouldn't I follow in Judge
13
    Connolly's well-reasoned decision?
14
             MR. BLACK: Well, Your Honor, since he ruled in our
15
    favor I believe that you should. I believe that you should.
16
             THE COURT:
                        Okay.
17
             MR. BLACK: So I also think it's an easy one in the
18
    sense that if you just look at claim 16 of the -- I'll jump
19
    right to it. Hold on a second.
20
           So the core of Sandoz's argument is really on this
21
    slide here, which is -- which shows their -- they have a
22
    number of statements in their brief where they say that
23
    there's no reference to dilution anywhere in the claim.
24
    claims say nothing about dilution. There is not mention of
25
    dilution or IV drip while the patents are silent on dilution.
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But I guess claim 16 says the pharmaceutical composition is diluted in a diluent. And what they've really done is try to raise the same argument in Delaware just without using the word "dilution," and we think on its face, the claims show that that is not correct.

If we go back and look generally just at how this product is administered, vasopressin comes in a vial. It is stored -- the claims require storage for four weeks, refrigerated conditions when it's needed, typically in an emergent situation where a doctor needs to raise the blood pressure quickly, it's injected into an IV bag and then administered to a patient at a particular rate.

THE COURT: Talk to me about why it was only mentioned in the '239 and nowhere else.

MR. BLACK: Sure, Your Honor. The '239 is limited to dilution. The other patents are not limited. So there is a -- I'll call it a core case, a rare situation where the drug can be administered right out of the bottle with a syringe. It doesn't make any sense in the context of these claims which require administration at a very particular rate of .1 to 1 unit per minute. You can't sit there with a syringe and administer something at a rate. A human being doesn't do that. And the evidence is that this particular drug is almost always administered in diluted form.

So what the claim says is it has a -- the first part of

the claim -- the first part of the claim discusses the characteristics of the product. Let me just give you the right number slide. We are on slide 12.

So this is the structure of the claim. It's '526, claims 1, dependent claim 16 to 19.

It's a method for increasing blood pressure, and it has several steps. The first step is providing a pharmaceutical composition for intravenous administration, and then it has a number of parameters. The concentration. It has acetic acid. It has water. It has a pH of 3.8, and that's what you get in the vial. Then the second step is storing the composition at 2 to 8 degrees celsius for four weeks in the refrigerator. And then the third step is actually in claim 16 which says diluted in a diluent prior to administration. And then the fourth step is intravenously administering where the administration is at a particular rate, not a particular concentration.

So the whole claim fits together and the patent specification discusses exactly this. It talks about the method and how you perform the method. It's a method of administration. There's nothing in the patent that explicitly discusses this unusual case where you inject it directly. It's just -- it's known in the specification. It's discussed. And the one example given of how to administer it is dilution in an IV bag. And we also submitted evidence indicating that

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that's the way it's done in the real world. So people reading
the specification would understand that you can either dilute
or not dilute. The important thing is that the medicine gets
into the patient. It's a little like somebody said take an
Alka-Seltzer. You don't just swallow one. You put it in the
water, let it dissolve, and then drink the solution. That's
what doctors know.
                   That's what their specification describes.
         THE COURT:
                    I understand your argument on this point.
         MR. BLACK:
                     Thank you.
                     Counsel, why shouldn't I follow Delaware?
         THE COURT:
                     The reason you should not follow Delaware
         MR. REMUS:
is that the claim construction that Sandoz is advancing is
materially different from the claim construction that Eagle
advanced and that Judge Connolly ruled on. On this slide,
this is slide 8, Your Honor. This is Eagle's construction
that they proposed. It is identical to Sandoz's construction
except in one very important way, and that's the red
parenthetical. Eagle asked Judge Connolly to expressly hold
that the claim does not cover dilution before administration.
Sandoz's construction is completely silent regarding dilution.
Importantly, it does not exclude dilution. I want to explain
this because I think it's a very important point for framing
this dispute.
       So if we go to slide 6, you've seen our construction
words. I think sometimes it helps to see it in images and how
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this is administered in the real world.

Sandoz's construction is very straightforward, and that is for all of these claims that require administering, you have to administer the formulation having the properties that are required in the claim.

And so if we go to -- for example, this is claim 1 of the '209 on slide 5, you'll see the green highlighted subject matter are the three specific properties that are required for the formulation. One of those properties -- we see this come up frequently -- is a specific concentration of vasopressin. Here, it's .01 to .07 milligrams per milliliter. So if we take that and go to the real world application, what Sandoz's saying is when that formulation is administered to the patient, when it's put into that patient's vein, it has to have that concentration that's expressly stated in the claims.

Now, what about dilution? We'll go to slide 7. This is how Sandoz's construction applies to dilution. If you take a bottle of vasopressin, for example, which is showing that bottle on the left, dilute it with dextrose or saline, whatever you want, and then administer it to a patient, that's fine. Sandoz's construction does not exclude that. But if you do that, you still need to have the concentration of vasopressin that's required in the claims.

So you can dilute all you want, but you still have to meet the elements in the claims.

That is something that Judge Connolly never ruled on.

He focused his attention on whether you should exclude or include dilution. He did not rule on this issue of requiring the formulation to have the properties required in the claim.

So in that regard, this Court is writing on a blank slate.

Now, I want to contrast Sandoz's construction with Par's construction. And this is on slide 9 and just a graphic representation of how their construction applies in the real world. Par says, starting on the left, you can start with a bottle of vasopressin that has that claimed concentration of .01 to .07 milligrams per milliliter. You dilute it and then you get a completely different concentration that you administer to the patient. In that regard, they're completely ignoring the concentration that's required in the claims because the concentration that's actually administered to the patient is different than what's in the claim. Sandoz submits that's wrong. It's ignoring the plain meaning of the words.

One thing that Mr. Black just mentioned that I want to make clear is -- let me go to the next slide -- the specification. I believe Mr. Black said -- so we're on slide 18 now -- what slide 18 shows is exemplary embodiments from the '223 patent. One embodiment is an embodiment that does not mention dilution. The other embodiment is one that expressly mentions dilution. And we compare and contrast these two on the screen where in green we've highlighted the

properties of the formulation. In yellow it's the administering step.

The same holds true for the dilution embodiment where green is the unit dosage form properties, light green are the diluted unit dosage form properties. And if you look at that light green highlighting, you'll see that the step is diluting the unit dosage form in a diluent to provide a concentration from about .1 to about 1 unit per milliliter. Then it specifically says by way of milligrams, .21 micrograms to about 2.1 micrograms. So a much different concentration than what is present in the unit dosage form.

Here they're specifying these are two completely different families of embodiments. And if we look at the Haemonetics case as an example, the federal circuit explained when you have one set of embodiments that tracks one set of claims, and another set of embodiments that tracks another set of claims, such as the '239 patent, you should not conflate the two. Unit dosage form means something different from diluted unit dosage form.

Mr. Black's comments about the specification describing -- I believe he said referred to an IV bag -- not true. You are not going to find any description of an IV bag, an IV push, a syringe pump anywhere in the specification. Instead, the description of the embodiment is identical between the embodiments with no dilution and the embodiments with

dilution. And we've heard example to -- slide 18 again -- the highlighted and yellow orange color, it's the exact same level of detail for each embodiment. It simply says either administering the pharmaceutical composition to the human or administering the diluted unit dosage form to the human.

So that dilution embodiment is not providing any additional detail. It's the exact same level of detail. The only difference is what's the concentration of vasopressin in that formulation? The dilution embodiment is not just a more specific example of a general embodiment. It's a completely different embodiment. The reason why that is, there are different concentration ranges.

And I think that comes into play also when we look at -- I'm at slide 16 now. Slide 16 is a comparison of claim 1 of the '526 patent to claim 1 of the '239 patent. Again, same highlighting structure where the properties are highlighted in green, the diluted properties are highlighted in light green. And the point I want to make here is that claim 1 of the '239 is not just a more specific example or a narrower version of claim 1 of the '526 patent. It's a completely different embodiment because it has different concentrations. If claim 1 of the '239 was simply a more narrow version, you could not infringe the '239 patent without also infringing the '526, but that's not the case. Because it has a different concentration range, one can infringe the '239 but not infringe the '526.

1 So they don't have this relationship where it's just more 2 specificity. Completely different embodiments, Your Honor. 3 One thing that Par really relies heavily on in its 4 briefs that I strongly disagree with is this notion of a 5 preferred embodiment. There's nothing in the specification 6 that describes any of these embodiments as more preferred than 7 another. The word "preferred" literally doesn't appear anywhere in the hundreds of pages of these patents. They're 9 all treated equally, and the specification makes clear some 10 embodiments are protected by some claims, other embodiments 11 are protected by yet other claims. 12 Par relies a great deal, almost exclusively, on claim 13 differentiation as one of its strongest arguments. We cited the case law in our briefs. Your Honor has read them. 14 15 the federal circuit has made clear when you look at claim 16 differentiation, you can't let the dependent claim tail wag 17 independent claim dog. And that's exactly what Par is doing 18 in this instance. 19 THE COURT: How? How does the '239 wag the dog? 20 MR. BLACK: Because each claim, on its face, has its 21 plain ordinary meaning. Claim 1 of the '239, Your Honor, 22 slide 16, specifically defines the properties of the 23 formulation that is administered to the patient. 24 '526 again specifically defines the properties of the 25 formulation that's administered to the patient.

So if you're going to somehow read the '526 patent claim 1 as covering administration of a diluted product, you're changing the plain meaning because you're no longer administering the concentration required in claim 1 of the '526. You're now administering a completely different concentration, and that's rewriting the claims. And that's what Judge Dyk, in the Baxalta case specifically said you can't do. He said, the court, the federal circuit has also made clear that this rule of construction does not govern where the independent claims on their face are of a more limited scope. And that's what we have in all of these patents. The claims are very clear on their face what the concentration is that must be administered to the patient.

Last thing I want to touch on, Your Honor, is the role of expert testimony here. Expert testimony cannot be used to vary the plain meaning of the terms. Expert testimony can aid construction but it cannot rewrite the claims. The situation we have here is very similar to the situation that was present in the Chef America v. Lamb-Weston case. This is summarized on our slide 20.

The Chef America case concerned a claim directed to a method of baking bread where you bake the dough to a temperature of 400 degrees or more. The problem there is if you bake bread to a temperature over 400 degrees it's burnt to a crisp. It's a lump of charcoal. So in that case they

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1
    brought an expert in that says, well, we know that's what the
 2
    claims say, but we have an expert that says everyone knows
 3
    you're baking it at that temperature, not to that temperature.
           And that's exactly what Par's doing in this case.
 4
 5
    Notwithstanding the plain meaning of the claims, they say,
 6
    well, we have this expert and he says, well, everybody knows
 7
    that this is what you actually do in clinical practice, but
    that's not what the claims said. You can't rely on expert
 9
    testimony to rewrite the plain meaning of the claims.
10
           We think the claims are plain on their face, and we ask
11
    the Court to adopt the construction where the properties
12
    required in the claim are part of this claim construction.
13
             THE COURT:
                         Thank you.
14
             MR. BLACK:
                         Thank you, Judge.
15
             THE COURT:
                         Talk to me about concentration and
16
    embodiments.
17
             MR. REMUS: Yes, Your Honor.
18
           If you look at their slide 18, you have that up there,
19
    what he said was that the embodiment on the right reflects the
20
    diluted form. And element C is diluting the unit dosage form
21
    to a certain number of units, which is .21 micrograms per
22
    milliliter to about 2.1 micrograms per milliliter.
23
    what he says is the embodiment including dilution.
                                                         And you'll
24
    note that the dosage form begins at a concentration of .01
25
    milligrams per milliliter to about .07. It's then diluted
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down to .21 -- the little "u" is microgram -- to about 2.1 microgram. He says that's an example of dilution, which is consistent with our theory.

Now, they filed their brief. We didn't get a chance to file a reply. But if you'd like to take a look at slide 20, Your Honor, of our presentation, you'll see in the claim set, which is attached to the '526 claim 1, the dependent claims. And claim 16 says the composition is diluted in the diluent. That's the original composition at .01 to .07. Then claim 17, which we didn't get to address because of the way the briefing went, says, wherein the composition is diluted to another concentration, .21 micrograms to 2.1 micrograms, which is the example he gave in his presentation as something which is diluted.

So you start in the vial with something at a particular concentration in claim 1. It sits in a refrigerator for four weeks. You take it out. You dilute it in claim 16. And you dilute it to a specific concentration stated here. And we can prove that's what happens.

Their theory is that, no, this claim set can only be met if you keep the concentration at the original .01 to .07 and you have to measure it at the time that you are actually -- lead the IV bag in the patient. That's not what this claim set reflects. By his own admission, the specification, the embodiment here that was intended by the patentee, was the one

with full dilution.

Now, these claims -- some of the claims can be read on both. The point is you have to get what's in the vial, you have to administer it and get it into the patient. The vast majority of the time you're going to be diluted. Sometimes you don't. That's very rare. And the claims that talk about -- and almost all of them do -- about administering it to the patient at a particular rate per minute really do reflect the fact that this is something which is being administered through an IV bag because people cannot sit there with a syringe and administer something that deals with blood pressure at a rate that -- human beings just don't do that. So those of skill in the art understand that.

But the most important thing is, from a textual perspective, we've got claims right here that involve dilution. They cover the embodiment. There's no difference between their construction here and the one in Delaware. All they did was remove the parenthetical, which at least the Eagle folks were honest enough to put into the -- to the construction so that the Court would understand what everybody was talking about. They've taken that out and it just has kind of a tautology now. It just says it's administered according to the concentrations in the claim. It's not helpful. It's the same argument. Thank you.

THE COURT: Last word.

1 I'll be brief, Judge. MR. RHOAD: 2 First of all, his comments about administering a higher 3 concentration of vasopressin, it's possible. We submitted the 4 declaration from Dr. Coralic that discloses exactly how one would go about doing it. So it is possible to practice the 5 6 claimed invention. Where I think Your Honor ultimately needs 7 to come out in considering all this evidence is Your Honor has to weigh the various evidence. No one piece is dispositive. 9 We certainly think the plain meaning of the claims is most 10 important. 11 Mr. Black and Par keep beating the claim 12 differentiation drum. They have their cases where they say claim differentiation controls. We have our cases that say it 13 14 doesn't control. At the end of the day, claim differentiation 15 is just an aid. It's not conclusive. It's one thing to 16 consider in the context of all the evidence. Sandoz submits 17 when Your Honor considers the plain meaning of the claims, the 18 specification and the prosecution history, that evidence shows 19 that Sandoz's construction is the correct one, rather than 20 Par's construction, which relies simply on the claim 21 differentiation. Thank you. 22 THE COURT: Thank you. 23 Next term. 24 MR. RHOAD: Good morning, Your Honor. Bob Rhoad for 25 the plaintiffs.

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1
           The next term we had lined up here is the term
 2
    vasopressin.
 3
             THE COURT: Okav.
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             MR. RHOAD: And so if we look at the parties'
 5
    proposed constructions, Par's proposed construction is
 6
    arginine vasopressin as described as a particular sequence ID
 7
    number, and Sandoz's is ordinary construction. That's the --
    our proposed construction is the one that the parties
 9
    stipulated to in Delaware. So it's the one that governs in
10
    Delaware and was not disputed in that case. So Judge Connolly
11
    didn't make an affirmative ruling on the merits.
                                                      It was just
12
    accepted that that's the concentration.
13
           So what's really the difference between the two?
14
    far as we can tell, the difference is, first of all, whether
15
    or not it is arginine vasopressin, which is vasopressin that
16
    is found in humans, or lysine, or does it also include things
17
    like lysine vasopressin, which is a type of vasopressin found
18
    in pigs.
19
           The second part is whether or not what's required is
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    that it be synthetically prepared as opposed to naturally
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              So those are really the two areas as a practical
22
    matter where our --
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             THE COURT: What is defined in the ID?
24
                         Arginine. Synthetic arginine
             MR. RHOAD:
25
    vasopressin. So --
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1 THE COURT: And it's referenced. 2 MR. RHOAD: Yes. Yes. 3 So at the end of the patents there's roughly four pages 4 or so of these sequence listings, and that's a way for them to 5 specifically define, when they're referring to various 6 compounds, what exactly those substances are. And the very 7 first one is vasopressin which is sequence ID number 1. 8 And throughout the specification, they specifically 9 refer to vasopressin by way of its sequence ID number. 10 our slides we have a number of references. It says 11 vasopressin is a known peptide illustrated below sequence ID 12 number one. 13 Again, later on in the specification it says, 14 vasopressin and degradation are listed in table one below. 15 Table 1 below shows vasopressin sequence ID number 1. 16 Again, in table 3, it says it details the chemical 17 formula and structure of vasopressin and the tested 18 impurities. Again, it's by way of reference to sequence ID 19 number one. 20 And, again, later on, vasopressin is a white amorphous 21 powder. The structural form of vasopressin is sequence ID 22 number 1. So throughout the specification, they're referring 23 to vasopressin by way of a sequence listing, and that's the 24 whole reason why they put it in there, was to make clear when 25 they're talking about vasopressin for purposes of the claimed

invention, they're talking about what's listed in the sequence.

So you go from there to do they identify it specifically as arginine vasopressin? And in the sequence listing, you see there on slide 38, it gives a sequence listing and I put a box around Arg. And that's indicating — that's what makes this arginine vasopressin is the existence of that Arg amino acid there. If this was lysine vasopressin, for example, that would be an Lys instead of an Arg.

We also see it earlier in the patent where it says it's illustrated below sequence ID number 1 and they give the chemical structure. And, again, at the bottom we put a box on slide 39 around the Arg. And, again, that's indicating that it's arginine vasopressin. Again, if that was lysine vasopressin, that would be an Lys that would be shown there.

And, again, the table that we looked at earlier all reference arginine vasopressin. So table 3, it specifically says, arginine vasopressin AVP. That's arginine vasopressin. Again, in the chemical structure that appears again later, sequence ID number 1, it has the Arg instead of the Lys indicating that it's arginine vasopressin. It's also in table 1. So it's throughout the specification they made clear that it's arginine vasopressin.

The sequence listing also makes clear it's synthetically derived vasopressin. So if we go to sequence ID

number 1, it says on slide 43, where we include the sequence listing, Organism: Artificial sequence. Other information: Description of artificial sequence: Synthetic peptide.

So it's making clear that this is something that's synthetically derived as opposed to something that is derived from natural origins.

So there's no dispute that vasopressin occurs naturally in the human body. And there's a portion of the specification in the background section where they're just explaining how it works in the body and it notes that that's the case. But when they're talking about the compound that they're claiming, when they're talking about the claimed invention and what's going into the various compositions that they're claiming, they do so by reference to the sequence listing, and the sequence listing makes clear that it's synthetic arginine vasopressin.

On slide 44 we again have a reference where they're specifically referring to synthetic. It's talking about how you can formulate this into a composition. And it's consistent with the USP for vasopressin which makes clear that it's referring -- when it's referring to vasopressin for purposes of USP vasopressin, that it's prepared synthetically and it's arginine vasopressin. So their arguments are it doesn't -- there's no expressed definition that says vasopressin -- by the term vasopressin we mean X, but it doesn't have to be -- there's no rigid formulas that's

1 required. If you make clear in the specification that's what 2 you're referring to, then that's -- you can provide your own 3 definition that way. And, you know, their argument is that they say the word 4 5 "synthetic" only appears twice in the specification. not true. And, most importantly, it appears specifically in 6 7 the sequence ID listing so that makes clear that that is 8 synthetic vasopressin. 9 MS. LYDIGSEN: Good morning, Your Honor. 10 THE COURT: Good morning. 11 So what happened in Delaware with this term? 12 MS. LYDIGSEN: There was no dispute in Delaware. 13 Eagle agreed to Par's proposal in Delaware, and we think the 14 reason for that is because -- the reason that we're fighting about this, which only came to light recently, is that there 15 16 is a prior art Lithuanian patent that discloses a formulation 17 that uses naturally occurring arginine vasopressin. So we 18 believe that plaintiffs sought this construction in Delaware, knowing about that reference, and got Eagle's agreement. 19 20 doesn't explicitly spell out in their construction that 21 they're trying to limit it to synthetic. That came out in the 22 meet and confer process in this case. 23 So we think that that's why they're trying to seek this 24 construction to exclude synthetic arginine vasopressin and try 25 to distinguish themselves from that prior art reference and we

don't think that that's proper.

In general we agree with Par about what vasopressin's ordinary meaning is. It's the active pharmaceutical ingredient in both Par's Vasostrict product and Sandoz's generic product that are subject to its ANDA. And the term is used in the scientific literature to refer to two different forms of vasopressin, which are shown on this slide. The arginine vasopressin, which has an arginine vasopressin at the eighth amino acid sequence. You see those complicated molecules on the right. Oftentimes with peptides, we instead describe them in terms of the sequences, which is a little bit simpler, and then the only difference we see between that and the vasopressin that occurs in some animals is that there's a lysine at the 8th position. Both are vasoconstrictors and they occur naturally in animals but can be synthesized.

Mr. Rhoad went through what the various limitations are. I spelled them out here. We think the most important one and the crux of the parties' dispute is actually with respect to that first limitation that they're trying to read into the claim, which is whether or not the vasopressin is synthetic.

The second thing that they attempt to read into the term vasopressin is that it has to be limited to sequence ID number 1. We don't think that's as material to the parties' dispute, but we also think that that is improper in this case.

1 And then third Par --2 THE COURT: Why? What would that improper? 3 MS. LYDIGSEN: Let me -- there's a couple reasons. So when you look at the claim itself, this is just 4 5 This is the '239 patent. Vasopressin is used in 6 all the claims. It doesn't list the sequence ID there, right? 7 It just refers to vasopressin generically. Then if you go to the dependent claimants, frequently they do require specific 9 ID numbers 2, 3, 4. So when Par intended to limit the claims 10 to a specific sequence ID, they did so. They did not do that 11 with vasopressin. Instead they used the term broadly. 12 There's a reason for this. The regulations that govern how 13 patent claims are drafted in this area in peptides require 14 that if you're going to claim a specific sequence ID number, 15 you need to include that magic language in your claim sequence 16 ID. It's not enough, according to the regulations, which is 17 reproduced here at 37 CFR section 1.821, to just refer back to 18 something with specification. You need to actually state the 19 sequence ID number. They did not do that here. So it's 20 improper to read that into the claim. 21 I'd like to turn back to the first issue, though, which 22 is whether or not vasopressin should be limited to synthetic 23 Before I do that, turn to -- their construction vasopressin. 24 refers to columns 25 and 26 of the patent specification, and 25 they draw out arginine synthetic, sequence ID number 1, from

these two columns, which are reproduced on this slide. What's missing in those two columns and the subsequent sequence ID number 1 listing is anything that says vasopressin means, vasopressin is defined as, anything that would qualify as lexicography, a clear definition. That's what's needed under patent law under the federal circuit law in order to define a term, is something narrower than its ordinary meaning, which in this case would cover both natural and synthetic vasopressin, lysine, arginine, and for some variation of sequence ID.

The other thing that's problematic about the reliance on reading these limitations in from columns 25 and 26 is that what it says in the specification itself, far from having limiting language, that these passages actually begin with the title "embodiment" and state that the following non-embodiments provide illustrative examples of the invention, but do not limit the scope of the invention.

And so rather than attempting to limit the scope of vasopressin to specific features -- synthetic, arginine, sequence ID number 1 -- the specification says just the opposite, that it's a nonlimiting embodiment.

The specification with respect to -- is also clear that more than synthetic vasopressin is encompassed. This is early on in the specification. It states that vasopressin is synthesized as a prohormone in neurosecretory cells of the

hypothalamus. That's a part of the brain. So in other words, the specification states that vasopressin is made by the brain. It's made naturally. They're very -- the patent specification does not state it needs to be synthetic or is necessarily synthetic.

They rely on several passages that describe synthesis of arginine vasopressin. This is the primary one. It says, vasopressin is a polypeptide hormone that causes contraction of the vascular and other smooth muscles and antidiuresis, which can be formulated as a sterile aqueous solution of synthetic arginine vasopressin. The key thing there is it says it can be formulated, not that it is formulated or exclusively formulated.

Other places in the patent specification state just the opposite, that it's naturally occurring. This is also consistent with the extrinsic evidence that vasopressin is not limited to synthetic vasopressin. I reproduced an excerpt from the USP, the US Pharmacopeia, which is a compendium with — that's used by folks in the field, medical field, and it's from the same year as the section that they rely on. This is for vasopressin injection, and it specifically states that vasopressin injection includes products of animal origin. And then in that second highlighted passage, there's a parenthetical that says it's animal or synthetic. In other words, it can be naturally occurring or synthetic. And so a

person with ordinary skill in the art would understand based on the specification and their knowledge of the field, including the USP, that vasopressin is not necessarily synthetic vasopressin.

I'd like to briefly turn to the third limitation they attempt to read into the claim, which is that vasopressin should be limited to arginine vasopressin. Again, the specification says that the vasopressin can be formulated as arginine vasopressin, not that it necessarily is.

And if we look at the extrinsic evidence, this comes from another version of the USP, here it says vasopressin injection expressly lists both the sequence and name for arginine vasopressin as well as lysine vasopressin under the same heading for vasopressin injection.

And so lysine vasopressin would be encompassed within a person of ordinary skill's understanding of the term vasopressin.

And then finally -- this is a prior art article from Treschan. And here the author describes that vasopressin should be specifically called arginine vasopressin, AVP, to distinguish it from analogs. And so when the person of ordinary skill in the art wanted to refer to specifically arginine vasopressin, they did so. They would describe it as arginine vasopressin. The claims do not do that here, and there's nothing in the patent specification that specifically

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    would qualify as lexicography that might limit those -- the
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    ordinary meaning of the claims.
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             THE COURT: Thank you.
           Counsel.
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           MR. RHOAD: Your Honor, I just want to respond quickly
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    to three of the points that counsel raised.
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           The first one relates to the regulation from the CFR,
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    which they have on their page 58, slide 58. And the
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    regulation specifically contemplates that the identification
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    of the sequence ID number can occur in the specification or
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    the claims. It says, Reference must be made to the sequence
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    by use of the sequence identifier preceded by sequence ID
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    number in the text of the description or claim.
           So their assertion that somehow the fact that it
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    doesn't say sequence ID number 1 in the claims somehow
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    violates this regulation is just wrong. And that's the whole
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    point of including a sequence ID number listing and
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    identifying vasopressin by way of sequence ID number 1, was to
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    make clear that that's what they're referring to.
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           The second thing I wanted to talk about was their
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    reference to the embodiments, and they cited in their slide 52
22
    a reference -- in column 25 -- to being nonlimiting
23
    embodiments. And they say somehow that precedes the sequence
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    ID listings as if the sequence ID numbers are somehow part of
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    the embodiments. That's just a separate -- the portion they
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cite is not relevant to the sequence ID listings which are simply at the end of the patent they included sequence listings. So that portion of the specification they cited has nothing to do with the sequence listings.

Finally, on the USP, they cite the references to labeling in the USP and labeling it as natural or synthetic. And that just refers to the fact that the USP makes clear -- USP vasopressin is synthetic arginine vasopressin. And it makes clear that if you're going to use some other form of vasopressin, you have to make it clear on the label so nobody's confused. That simply confirms our assertion that everybody understands when you're talking about vasopressin, you're talking about synthetic arginine vasopressin in accordance with the USP. They cite an earlier version that included within USP vasopressin lysine vasopressin, but that had changed by the time of the claimed invention. So the relevant inquiry is at the time of the claimed invention. By that time the USP had dropped lysine and everybody understood USP vasopressin is synthetic arginine vasopressin.

MS. LYDIGSEN: I don't think I have much more to say. We stand by our reading of the regulation which states it has to refer to the specific sequence ID number. And with respect to the USP, the portion that was cited initially in our slides comes from the same edition as theirs. Nothing changed. That was current as of 2015 referencing both animal and synthetic

1 vasopressin. Thank you. 2 THE COURT: Number 3. 3 MR. RHOAD: Your Honor, the next term that we have 4 identified for construction is the "consists essentially of" 5 And it's one of the transitional phrases that's 6 recognized for use in patent claims. It's kind of unusual. 7 It's not used a lot, but it has a clear and ordinary meaning which is that, you know it's between comprising which allows 9 you to have all the things that were cited plus anything else 10 and "consists of" which says you can only have the recited 11 ingredients. And sort of in between those two, you have to 12 have the recited ingredients, but you can also have other ones 13 so long as they don't materially affect the basic and novel 14 characteristics of the claim. We think it's that ordinary 15 meaning that should apply. 16 Just for -- kind of orient ourselves, this transitional 17 phrase appears in only one of the patents, the '478 patent and 18 claim 1 of the '478 patent. So this term is limited in terms 19 of its impact to that one particular patent and the claims 20 that are found in here. 21 As I said, you know, this term has it's well understood 22 meaning and so the recited components of the unit dosage form 23 in claim 1 are three things. You have the vasopressin or a 24 pharmaceutically acceptable salt thereof, which plays into

what we'll talk about in a minute, and has an acetate buffer

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and water. So under this transitional phrase you have to have those three things, and you can include other ingredients so long as they don't materially affect the basic and novel properties of the invention.

So when you use this type of transitional phrase, the federal circuit has told us it really raises two questions for the Court to consider in terms of doing an infringement or validly analysis. The first is what are the basic and novel

characteristics of the claimed inventions. So that's sort of the first question. The second question is does the particular unrecited ingredient that's either in the accused

product or the prior art formulation at issue, does it

13 | materially affect those?

So the first question, what are the basic and novel properties, that really is a claim construction question regarding what's the scope of the claim, what are those basic and novel characteristics?

That second question, though, is one -- it's an infringement question for the fact-finder to determine. Okay. You know, you have this particular additional unrecited element. Is that something that materially affects the basic and novel characteristics and properties of the claimed invention?

So the issue here is we just say ordinary meaning.

Sandoz argues that this phrase is indefinite. They want to

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invalidate the claim saying it's indefinite, saying people of ordinary skill have no idea what you're talking about. can't understand the scope of this claim. It's indefinite. So the first issue is what's the standard for indefiniteness? Since they're trying to invalidate the claim as indefinite, it's different than the normal claim construction. THE COURT: When should that be done, here or later? In our view it would make more sense to MR. RHOAD: do it later when the Court has full expert testimony. This is going to be a bench trial so we don't have to worry about jury confusion or anything like that. THE COURT: How about that case that was cited in their brief, the recent federal circuit case? MR. RHOAD: So that case says it's part of claim construction and we're not disputing that. It's up to -- if Your Honor wishes to do it now, we're happy for Your Honor to do this question of this first, what are the basic and novel characteristics of the claim? Courts -- district courts have discretion to manage their docket any way they want. don't even have to hold a Markman hearing. You don't have to do claim construction before trial. You can do it whenever you want. So it's up to Your Honor's discretion when you believe is the best time. We're not saying you can't do it now or you have to do it later.

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Do I have everything I need to do it now?
         THE COURT:
                    I think you do. I think you can make --
         MR. RHOAD:
answer the first question, what are the basic and novel
characteristics? I think you have what's needed.
                                                   I think
it's clear from the specification and the prosecution history
and the intrinsic evidence what those properties are.
       So I think you have what you need at the moment.
Obviously after trial or at some point in the trial you'll
have more expert testimony. You'll have a better
understanding of the compositions at issue and you might be a
little bit more informed in making a decision at that point.
But I think you have enough to make the call right now.
         THE COURT: All right.
         MR. RHOAD: But I think the important thing is from
burden of proof and the standards applicable here, we're not
talking about normal claim construction where -- from a --
where you're deciding something, you know, based upon your
view of the evidence and intrinsic evidence and whatnot.
         THE COURT: What is the standard to do that?
                    The standard, first of all, is -- for
         MR. RHOAD:
indefiniteness, standards would have to prove that the claims
failed to inform those of skill in the art about the scope of
the invention with reasonable certainty. So that's what we
have from the Supreme Court Nautilus case. That's the general
standard for indefiniteness. But what's clear is that this is
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an invalidity defense. So like all invalidity defenses in accordance with the *Microsoft* Supreme Court case, Sandoz has the burden of proving indefiniteness by clear and convincing evidence. That's a heavy burden of persuasion and the law is also clear in terms of the standards for indefiniteness. The fact that there's some imprecision involved doesn't mean that it's indefinite. You can use relative terms. And the fact that there is some imprecision involved doesn't mean that a claim is indefinite.

And here, in our view, Sandoz, clearly failed to meet their burden. The relevant perspective is a person of ordinary skill in the art -- would the claims inform a person of ordinary skill in the art about the scope of the claims with -- to a reasonable degree of certainty, and they have no expert evidence on this point. They have no expert coming in here saying I can't understand this claim. It doesn't tell me about the scope of this claim with reasonable certainty. There is no evidence from an expert to that point. They're relying entirely on lawyer arguments.

They cite no case in which a patent was invalidated on indefiniteness without some form of expert testimony. The principal case they relied on in their reply brief is this recent federal circuit case, and that explicitly relied on expert evidence and experts who came in and said we can't understand -- we can't determine the scope of these claims for

X, Y and Z reasons, and the courts found that persuasive and found invalidity on the basis of that expert testimony. So in our view, they have failed as a matter of law by not providing the requisite evidence to establish clear and convincing evidence of invalidity.

But let's take a look at -- so the first question under this recent federal circuit case is what are the basic and novel properties of the claimed invention?

When we talk about that in the context case, the claimed invention is clearly directed to vasopressin compositions that are intravenously administered to patients to treat hypotension. It's a pharmaceutical product. It's used to treat patients for a particular condition that's expressly recited in the claims in this instance of this particular patent.

You know, so the patentees didn't claim to be the first people ever to invent vasopressin, but what they did say is they described the problems that existed with the existing vasopressin formulations at the time. And what they said is, hey, vasopressin degrades in aqueous solutions. So you put it in water and it starts to degrade and the degradation gets worse over time. So that's a problem when you're formulating these kinds of compositions. And they said the then current formulations had poor long-term stability. And then in the rest of the spec, they described a bunch of experiments they

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did, testing they did to various things and what can impact stability and the amount of vasopressin. And during the prosecution history there was back and forth about it and they pointed to the stability of the product at the particular pH that they claimed and said that's what differentiates us. That's what makes us novel and patentable over the prior art. And they expressly -- on slide 56 we have a statement by them where they specifically describe the advantages that they provide. It says they provide advantages in stability, administration, and efficacy as well as formulation viscosity. And so our proposal -- so we set forth a position as to what we assert are the basic and novel characteristics of the claimed invention. Sandoz has not offered any list of what They just say they can't possibly know what it is. And so on slide 57 we set forth the four things that we say together comprised the basic and novel characteristics. Stability, that addresses -- that relates to the problem they identified and the advantage they identified in stability. It's also pharmaceutical acceptability. This has to be a product that's suitable for use as a pharmaceutical product. Effectiveness in treating hypotension. That's what this is about. It's treating patients who have -- hypotension is low blood pressure. So what happens, people come to the hospital, they're in septic shock, really blow blood pressure, it needs to be raised. That's part of what these inventions are about.

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Then suitability for intravenous injection in particular. That's what they're talking about. So we identified those four things. Sandoz makes essentially three arguments. intrinsic evidence doesn't identify those things, these properties, and I'll touch base on that. That's just not the case. It's not true. We'll talk about that in a minute. They say the properties are not novel. That's an invalidity argument that these are invalid under '102 and '103, that these aren't novel. That's for another day. That's when -there's no expert here saying this isn't novel stuff. terms of whether or not they're novel, that's for another day. And I say the properties cannot be understood with reasonable certainty. And that really goes to the second question when you're talking about whether something materially affects one of these properties. And that's a time for infringement and invalidity. That's not something, at least in the circumstances of this case, that we say can be addressed right now.

So in terms of that first question, the first argument, what are the properties, you know, they have this, I find it somewhat remarkable, statement that we quote on page 59. They say, Only one of the four properties identified by Par even appears in this sentence — stability. And they're referring to a sentence we had on slide 56 where it's describing the

advantages. Okay. And they say, Only one of them appears in the advantages. I'm at a loss to understand their argument because it mentions stability, but it also means that it's pharmaceutically acceptability. So on slide 60 the sentence says, Embodiments of pharmaceutical formulations that provide advantages. So it's clearly referring to pharmaceutical products. Products that have to be acceptable for use in a pharmaceutical product.

The sentence next we identify was effectiveness in treating hypotension. Specifically one of the advantages referred to is efficacy. Efficacy is effectiveness and hypotension is mentioned specifically in the claims. So the efficacy we're talking about for purposes of this claim is hypotension.

Suitability for intravenous injection. It specifically references in that sentence "administration." That's the form of administration that they're talking about, intravenous injections. So all four are expressly referenced in that sentence. The intrinsic evidence makes clear that it's those four. It's also provided elsewhere throughout the specification.

Stability, as I noted, is described as the problem, described as an advantage, and the -- the specification includes extensive discussion of that and the prosecution history is what made it patentable.

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Pharmaceutical acceptability. Again, these are pharmaceutical products. They have to be -- acceptable for use in pharmaceutical products. Effectiveness in treating hypotension. Again, that's right in the claims. Intravenous injection. There's extensive discussion of diluting intravenous injection. That's the form of administration. Now -- and then -- so that's the first argument that's throughout the intrinsic evidence. We believe it's clearly identified. The second argument about novelty, there's no evidence it's not novel. There's no expert out here, and this isn't the right time to decide that. We believe they are novel and the patent office found that they were novel. The final argument is that the properties themselves are somehow indefinite. That really goes to the second question whether or not if you add something, it would have a material effect on these properties. They submitted no expert evidence on that issue at all, and there's no basis for the Court to conclude on this record that there's anything indefinite about those four things. If you think about it practically, it seems clear and obvious to me that a person of ordinary skill in the art would understand this. Pharmaceutical acceptability. If I add something, that means

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you can't inject it into a human. The FDA includes a list of ingredients that are acceptable in pharmaceutical products. Well, if you add something that's going to be toxic to somebody so it's no longer pharmaceutically acceptable, that's materially affecting the basic novels of -- and properties of this -- of this product. It has to be pharmaceutically acceptable. And experts would know whether things affect suitability for that. Effectiveness. It's efficacy in treating hypotension. Again, experts can figure out whether something materially affects its efficacy. And that should be no problem. Suitability for intravenous injection. Again, if you add something that makes this a gel or a solid, it can no longer be injected. That's clearly materially affecting it. It seems readily apparent. Stability, you add something that adversely affects the stability, it's going to be known. They simply can't prove that these claims are indefinite. THE COURT: Do you agree with counsel regarding the standard that the Court needs to employ at this juncture and who has the burden? MS. LYDIGSEN: Yes. Sandoz has the burden of proof by clear and convincing evidence. We believe that exists here based on the specification itself, however, and there's no need for expert testimony. Their own patent specification makes -- fails to disclose the basic and novel properties with

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    sufficient clarity for a person of ordinary skill to identify
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    them.
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             THE COURT: Who tells me that?
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             MS. LYDIGSEN: Who tells you that?
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             THE COURT: Yes. You?
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                           It should be apparent from the
             MS. LYDIGSEN:
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    specification. It should be reading the specification.
    There's no reason why we need an expert mouthpiece to read the
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    patent specification.
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             THE COURT: To say what a POSA would interpret this
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    or how a POSA would read that, I don't need an expert for
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    that?
             MS. LYDIGSEN: Not for identification of the basic
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    and novel properties. You should be able to read the patented
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    claims and specification and pick out those properties with
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    some level of certainty. It should not take a Ph.D. degree to
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    be able to determine what those properties are.
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           Here's the parties' construction side by side.
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    says that the term "consists essentially" should be given its
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    ordinary meaning and then has a long parenthetical with those
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    four properties. Sandoz's position is that the term is
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    indefinite as used in claim 1 of the '478 patent, which would
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    also render the dependent claims, the 2 through 11, indefinite
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    and knock out that entire patent.
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           This is just consists -- Mr. Rhoad already went through
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some of it. It's a transitional phrase that appears after the preamble of the claim and before the body which lists the elements. Here the preamble recites a method of using a unit dosage form and then it uses the transition "consists essentially of" and there's elements A, B, and C.

As Mr. Rhoad mentioned, there are several different types of transitional phrases. I've listed the three common ones here in the description from the MPEP about them. The open transitional phrase, which Your Honor's probably seen before, is comprising. And so with a comprising transition, the claim would cover anything that has the recited elements and additional elements. If you looked at claim 1, if it had a comprising transition that consists essentially of, the unit dosage form could include elements A, B, and C and anything else.

But Par didn't claim it that way. They chose a more closed transitional phrase, "consists essentially of," and that limits the scope of the claim to the specific materials or steps and those that do not materially affect the basic and novel characteristics of the claimed invention. That's not only from the MPEP but from the case law. The HZNP decision from the federal circuit last fall that Your Honor cited quotes to earlier cases that recited that the phrase "consisting essentially of" permits inclusion of components not listed in the claim, provided they do not materially

affect the basic and novel properties of the invention.

And that case provided some very helpful guidance on how to deal with "consists essentially of" at the claim construction stage. We generally agree with Par there's a two-step inquiry. Number one, you have to be able to identify the basic and novel properties that go with that "consists essentially of" transition. And then once those properties are identified, there has to be an assessment of whether or not they're definite.

Under 35 U.S.C. Section 112, paragraph B, the basic and novel properties must be sufficiently definite to inform with reasonable certainty a person of ordinary skill of their scope within the context of invention.

So looking at -- I want to direct Your Honor's attention to the bottom five lines there in Par's construction. That's where the -- the properties that they contend that the basic and novel properties are laid out. Stability, pharmaceutical acceptability, effectiveness in treating hypotension and suitability for intravenous injection. And this is the passage of the patent specification that Par pointed to at column 15 starting at line 26 that they say lists the properties. It's featured heavily in Mr. Rhoad's presentation. Here it is side by side with the four properties that they've identified.

Mr. Rhoad for the first time is now saying that he can

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carve out -- stability is the only one that appears there verbatim. He says, well, you would actually -- a person of ordinary skill would actually understand administration of efficacy to -- and breach the three other three properties that he lists, but for reasons that are unexplained still, they would ignore that fourth property that's listed in the patent specification, modulation of formulation of viscosity. I think that that position is a little bit problematic because their own patent -- it's very vaquely stated at column 15 that says administration and efficacy, not pharmaceutical acceptability, not effectiveness in treating hypotension, not suitability for intravenous injection. And anybody, a person of ordinary skill or otherwise, reading a patent specification would understand that they don't specifically recite only effectiveness in treating hypotension. If you go to column --THE COURT: Who tells me that? I mean, I'm certainly not a POSA, and don't I need somebody to say what a POSA would say or how a POSA would read that? And isn't that your burden to prove that? MS. LYDIGSEN: But it's in the patent specification, and here it's at column 4 of the '478 patent. When you're talking about -- they say it's effectiveness in treating hypotension and that the person of ordinary skill would read "efficacy" to mean that. But if you --THE COURT: Who is the person of ordinary skill?

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It's not you, Your Honor, but this is
         MS. LYDIGSEN:
about reading the patent specification, and this is not
something that would require a higher level of ordinary skill
to pick out what they're claiming in their patent
specification what the basic and novel properties are.
         THE COURT: Do you agree with that?
         MR. RHOAD: Your Honor, I think it requires -- to the
extent it requires expert evidence, to the extent there's any
ambiguity or that the specification needs it. And, in fact,
we're talking about hypotension. It's in the claim itself,
so --
         THE COURT: Okay. Counsel, I cut you off.
sorry.
                       We have a collection of cases that are
         MS. LYDIGSEN:
cited in our opening brief at page 18 where the basic and
novel property identification is done based on the patent
specification. And that was also what we've done on the HZNP
decision. I'm going to skip out of order here for a minute
and show you what the court relied on in HZNP to identify the
basic and novel properties. This is what the federal -- the
federal circuit found that they were sufficiently identified
there and they looked at the patent specification. It was not
based on expert testimony. The judges looked at the patent
specification.
               In that case they were clearly spelled out.
There were five headings, one for each property that was
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verbatim what the plaintiff contended those properties were in that case. And under each heading there was a description of the specifics of that property.

That is not the case here. What we have is a -- one sentence at column 15 that's very vague that describes administration, efficacy. And then there are other portions of the patent specification that describe different -- not just hypotension but treating other diseases. That's at column 4, line 7. There's a long list of other diseases. And then with respect to administration, they say that, well, administration would need IV administration. Of course a person of ordinary skill would know that. But their patent specification at column 7, line 28 says pharmaceutical composition can be administered in therapeutically effective amounts. For example, intravenous, subcutaneous, intermuscular, transdermal or parenteral administration.

You don't need to be a person of skill in the art to understand that their patent specification is vague as to what the basic and novel properties are.

For this type of claim, what they're trying to use is a semiclosed transitional phrase, it's their -- I think that's their burden to say something in the patent specification that helps provide a flag post for anyone reading it to understand what those properties are and what the claim means.

The other reason why I don't believe a person of

ordinary skill would identify these properties in particular from column 15 is the fact that they're not novel. This slide shows one particular prior art reference from Buck and the four properties that Par identifies side by side. And with respect to stability, Buck discusses the fact that there was prior art, vasopressin formulations are used, and the issue wasn't stability with those but whether or not they would be stable when co-administered with other drugs. There's been some success with it, but it hasn't been formally studied yet.

With respect to Par's properties two and three which relate to pharmaceutical acceptability and effectiveness in treating hypotension, Buck reports a number of clinical studies where vasopressin was used to treat hypotension and was pharmaceutically acceptable.

And then finally with respect to the suitability for intravenous administration, Buck said that was done in the prior art too. So we had a patent specification with some vague listing of properties that aren't spelled out as basic and novel properties. Par wants you to take three of those four that are listed in column 15 and extrapolate from two of them to turn into three properties using other parts of the patent specification in the claims and ignore the fact that a person of ordinary skill would recognize that none of those properties were new. What are the novel properties? The specification does not spell them out for us.

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But even if you can identify the basic and novel properties with sufficient specificity, Par has a second problem and that's at step two, whether or not those properties are sufficiently definite. And, again, specification itself makes it clear that they're not definite here.

This is the standard from -- for definiteness that was spelled out in the HZNP decision which also involved an evaluation of "consists essentially of" on a particular patent. There the court said, It follows that those basic and novel properties, when read in light of the specification and the prosecution history, must provide objective boundaries for those of skill in the art. The basic and novel properties must be sufficiently definite so as to inform with reasonable certainty a person of ordinary skill of their scope within the context of the invention.

So there's that passage from HZNP side by side with the four properties that Par spells out. Now, in HZNP the federal circuit only went through this analysis for one of the properties there because they found that property was indefinite. So even if one property is indefinite, the claim is indefinite.

So I'm just going focus on stability because that's the only property that actually appears verbatim in column 15.

25 And even if that was sufficiently identified, Par has a

problem here because their own patent specification indicates that that property is not sufficiently definite for a person of ordinary skill in the art to understand the scope of the claim.

The specification here describes numerous measures of stability, and this slide shows one of those which is half life. And rather than defining an objective boundary that would help a person of ordinary skill understand what level of stability they believe is novel and what are the basic and novel properties of the invention, this passage describes half lives of one to 1,000 percent at five percent increments for the first 100 percent and then 100 percent increments after that.

Then half lives of one to 1,000 percent of another formulation measured at another temperature at five to 100 percent increments. Half lives of one hour to one week at one hour increments for the first day and six- to 12-hour increments thereafter. Instead of claiming something narrow and giving some boundaries, some benchmarks for what makes a novel level of stability, the claim -- the patent specification purports the invention covers every level of stability. But if there's more, the patent specification also describes virtually every level of decomposition.

This slide shows that the patent drafters stated that the inventions include 1 to 1,000 percent decomposition of

another formulation at five percent increments for the first 100 percent and 100 percent thereafter. Temperature for testing zero to 75 degrees celsius at one degree increments and .1 degree increments for 20 to 25 degrees celsius specifically. Again, no benchmarks.

Goes on, virtually every level of purity is described in the patent specification. They claim the inventions cover 1 to 99 percent purity of vasopressin at .1 percent increment. Virtually every level of sequence similarity with sequence ID number 1 is also covered. The same story applies there with these tiny increments between different percentages and virtually every mix of other peptides, ratios from 1,000 to 1 to 10 to 1. And also with percentage measurements from .1 to 100 percent at 1 percent increments. You don't need to be a person of ordinary skill in the art to read the specification and know that there's no benchmark here. There's no boundaries. They're purporting to cover every possible level of stability and yet saying it's a basic and novel property.

If this were not enough, they have a second problem.

And this slide comes from an excerpt in the '223 patent which is related. And there it describes how the stability of the vasopressin formulation would vary depending on the temperature that -- in which it's stored. Here, it purports that the amount of impurities observed in the sample stored at 25 degrees celsius and 60 percent relative humidity after 24

months exceeded 13 percent in some samples where the amount of impurities observed in the samples stored at five degrees celsius do not exceed three percent after 24 months. So you can get a ten percent variation depending on temperature. And there's no indication in the patent specification as to how this should be tested.

That was enough in HZNP to invalidate the claims there. The drying time -- drying time was identified as the basic and novel property, and based on the specification the Court found that because there were different tests for drying time that produced different results that that particular basic and novel property was indefinite.

Now, Par's counsel says that HZNP is hinged on expert testimony. That was not the case. There were expert declarations put in at the Markman stage, but if you look at the federal circuit decision, the federal circuit relied on the patent specification alone. That was what they weighed in determining that the claims were invalid as indefinite. And here they have multiple problems both with step one and two, which makes it an even stronger case for invalidity at the claim construction stage.

THE COURT: Okay. Thank you.

MR. RHOAD: Your Honor, just very briefly. You know, I think Your Honor hit the nail on the head asking questions about who's deciding this, you know, is it you or is it an

expert who's telling you this? There's no dispute that a determination is going to be made based upon the intrinsic evidence. But it's how a person of ordinary skill in the art reads the intrinsic evidence, not how a lawyer or a judge reads that intrinsic evidence. It's what would be understood by a person of ordinary of skill in the art.

And so, you know, they say hypotension is not part of it because it describes treatment of a variety of different conditions. Well, claim 1 that we're talking about specifically refers to -- in the last line -- wherein the person is hypotensive, it's very clear. Let them come in with an expert who says, I don't understand this has to be effective for treating hypotension. There's no expert saying that because it's just not true.

They pointed out various excerpts from throughout the specification regarding stability. But there's no expert to tell Your Honor that in light of those they're somehow confused about what's meant by stability. And I think their presentation highlights the importance of that ex -- having an expert come talk to Your Honor about that because they completely ignore the prosecution history where they -- inventors submitted -- did testing on stability, submitted it, explained to the patent office why they believed this had -- the particulars of this claim had advantages with respect to stability and why that made it patentable over the prior art.

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They need to come in with an expert and say not withstanding all of that prosecution history and what was done there, I can't understand this claim. I don't know what they're talking about with stability. Let an expert come and tell the Court that. They're asking you to interpret, pick out bits and pieces from the specification and reach a conclusion about whether or not a person of ordinary skill would be able to understand the claim. That's just improper claim construction, and their evidence is just woefully short of meeting the standard of proof of clear and convincing evidence. On the notion of temperature, well, it's got to be -there's no dispute that temperature has an effect on stability, but when you're comparing two formulations it's got to be apples to apples comparisons. And an expert would know that and an expert would understand that and would tell the Court that. That's the kind of evidence Your Honor needs. Certainly, we think it's -- that is not indefinite and that will be clear, but they haven't met their burden of proof. THE COURT: Counsel. I'm sorry. The point about the HZNP MR. RHOAD: case, the HZNP case expressly relied on expert evidence. And I have a copy in my bag, not present, but I can point Your Honor to specific passages. But it's very clear in the case. MS. LYDIGSEN: I don't deny that the HZNP case in the

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background mentions the fact that the district court looked at expert declarations, but it was not relied on by the federal circuit. Unless Your Honor has more questions, I have nothing further. THE COURT: Thank you. Last but not least. MS. GAGLIARDI: Good morning, Your Honor. Gagliardi on behalf of plaintiffs. The last term for construction is where impurities are determined based on a specified HPLC method. The dispute here, Par's construction is that the term should be supported as plain and ordinary meaning and no construction is required whereas Sandoz contends that this claim limitation is -requires a step be performed in terms of -- to determine impurities as part of the active infringement. It's implicated in two claims, the '209 claim 11 the '785 claim 2. I wanted to start with the '785 claim 2, which is a composition claim. An independent claim requires -recites a pharmaceutical composition and then it has comprising several components of that composition. It requires vasopressin. It has the fact that the composition contains impurities. The dependent claim, claim 2, which is the claim that contains the disputed limitation, says that the impurities contain a plurality of peptides, that there's a number of

1 impurities. And then it specifies a test that you can use to 2 determine whether infringement has occurred. 3 This is not a method claim. This is a composition 4 claim, and it's -- the test here is describing a test to 5 determine whether specified impurities are present. 6 The method claim, which is the method of treatment 7 claim, '209, claim 11 --8 THE COURT: Talk to me about your widget. 9 MS. GAGLIARDI: My widget. The widget. So the 10 analogy we give is a widget that if you had a claim to a 11 widget that had a mass of 10 kilograms, right? You know, if 12 you sell a widget that has a mass of 10 kilograms, you sell 13 the widget, it has that mass. You can determine that it has 14 that mass and it infringes the claim. 15 If I specify in my dependent claim that, hey, I have a 16 widget. It has a mass, and I'm going to determine that mass 17 using a specified skill, right? The infringing act is making 18 and selling the widget that has those properties, that has a 19 mass of 10 kilograms. And the dependent claim is just 20 specifying the kind of evidence that I would need to put 21 forward to meet my burden of proof of a preponderance of the 22 evidence that it is more likely than not that it has this mass 23 using this scale.

to be performed as part of the act of selling the product or

It's not a step that -- it's not a method step that has

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using the product. Here, the nature of the HPLC test, if you understand how the test that -- I have a slide that shows what HPLC is. This is high-performance liquid chromatography, and this is a technique used in a chemistry lab to separate, identify, and quantify components of a formulation. So here you have vasopressin. As we discussed, it degrades. And you have impurities present and we're trying to quantify and the intention is limiting the number of impurities here that are present. And so this is a technique where we can determine what impurities there are and how much of them there are.

And so you have -- if my laser pointer would work -over here you have -- you start out in the that first box, number one, you have solvents that are the mobile phase, what we call the mobile phase, that are mixing in with the -- a pump draws them in. There are components and you put your sample in there and it goes through a column and in the column, the stationary phase allows you to separate out the different components and identify them and then it goes into a waste container. So if you're talking about a method of treating a patient with hypotension and you have a person of ordinary skill of how these claims are understood, if they're treating a patient who is sitting in a hospital needing urgent care, they're not going to be part of that method of treating a patient, running to a chemistry lab, running an HPLC test for 55 minutes and determining whether these impurities are

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              The impurities are just part of the composition
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    that's being sold.
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           So here our position is just that these are describing
    the nature of these impurities in a test to determine whether
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    these properties are present.
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 6
             THE COURT: Why is Sandoz's construction nonsensical?
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             MS. GAGLIARDI: I think it's nonsensical because -- I
    don't think -- reading them in the context of how a person of
 9
    ordinary skill would understand them. And so like I said,
10
    somebody that's treating a patient isn't going to be thinking
11
    that I can run to the lab, determine these impurities and get
12
    waste and go back and deliver that waste to a human.
13
             THE COURT: Okay.
14
             MS. GAGLIARDI:
                             Thank you.
15
             MR. REMUS: Judge, Sandoz's position on this term is
16
    very similar to its position on administering terms, and that
17
    is the claims mean what they say. And here the disputed
18
    claim, claim 11 of '209 and claim 2 of the '785 specifically
19
    say the impurities are determined "based on." Not that they
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    can be determined or it's capable of determining, but they are
21
    determined. So that language is very clear. And that's
22
    solely what Sandoz asked the Court to adopt for its claim
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    construction.
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           If we look at the comparison of claim 1 and claim 2 of
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    the '785 that Ms. Gagliardi also referred to, it drives home
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how important it is that one actually practiced the claimed HPLC method to infringe. That's because if we look at claim 1 of the '785 patent -- this is on slide 44 highlighted in green, it's the same color scheme as the administering terms -- we have the properties of the formulation that's being administered. It spells out exactly what the impurities are. Then in claim 2, highlighted in yellow, we have the HPLC method. If claim 2 simply means that it's capable of determining those impurities, it does not further limit claim 1. Claim 2 is now superfluous. If you don't have to practice that method, then claim 2 adds nothing and you're limited to claim 1. And there's a presumption that claim 2 should add a further limitation, that that claim should have meaning. that's consistent with what we see in the specification. On slide 45 we highlight at least six examples from the '209 patent. I won't go through all six examples. The Court has the benefit of the examples in the slide deck in our brief, but the point is throughout the specification the inventors described the HPLC method as something that is actually performed, not something that simply can be performed. So on that, Your Honor, we think the claim language is clear. We ask the Court to require that to infringe those claims, one has to actually perform the HPLC method.

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1
    you.
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             MS. GAGLIARDI: I would submit that claim 2 does add
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    something. It addresses the required proof that you need to
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    show that that limitation is met. Here we're talking about a
 5
    difference between the active infringement and the proof
 6
    required to establish infringement. Thank you.
 7
             MR. REMUS: Your Honor, very briefly, I was just
 8
    reminded I forgot to mention one thing. On Your Honor's
 9
    question about the nonsensicality argument, we think that's
10
    been disclosed at this point in time. Under Sandoz's claim
11
    construction the claims are absolutely capable of being
12
    infringed. It's not a situation where to test you have to
13
    destroy an entire batch. If you want to test it, you take a
14
    sample out of a commercial batch, you test that sample.
15
    rest of the batch is perfectly fine. It's not destroyed.
16
    Sandoz's construction is not nonsensical. Thank you.
17
             THE COURT: Anything further?
18
           So my thought of moving forward is to permit counsel to
19
    submit not more than ten pages, a written closing argument.
20
    Is that acceptable?
21
             MR. REMUS: Yes, Your Honor.
22
             MR. BLACK: Yes, Your Honor.
23
                        How long do you need to do that?
             THE COURT:
24
    will be simultaneous submissions.
25
             MR. BLACK: Ten days, Your Honor.
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1
             MR. REMUS:
                        Next Friday.
 2
             THE COURT: Does that work?
                         That's fine. Yes.
 3
             MR. BLACK:
 4
             THE COURT:
                         Nobody likes Kansas City or San
 5
    Francisco. I guess it's before the Super Bowl, so you're
 6
    okay.
 7
             MR. REMUS: Well, I have to be careful, though, Your
 8
    Honor, because Ms. Lydigsen is a huge Kansas City fan.
 9
    has her Kansas City ski cap with her, so she will be gearing
10
    up for the big game.
11
                         I'm still rooting for the Eagles.
             MR. BLACK:
12
             THE COURT:
                         Well, you have Randy Reid, I guess.
13
             MR. BLACK:
                         We do. Maybe next year.
14
             THE COURT:
                         Is next Friday okay, or do you need more
15
    time?
16
                         That's fine with us.
             MR. BLACK:
17
             THE COURT:
                         You okay? Get it off your plate
18
    before --
19
             MR. REMUS: Before the big game. We can rest on
20
    Sunday.
21
             THE COURT: Counsel, thank you very much. I will
22
    never forget how I spent my Martin Luther King weekend of
23
    2020, and I thank you for that. I thank you for resolving at
24
    least one of the claims. When you talk to Judge Arpert, and I
25
    know you're going to be speaking with him momentarily, perhaps
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1
    you can come into an agreement on some of the other claims.
 2
    It seems that you're very close on at least two of the four.
 3
    So if you can work it out, that would be great. If not,
 4
    you'll get your decision as quickly as possible.
 5
             MR. BLACK: Thank you, Your Honor.
 6
             MR. REMUS: Thank you, Judge.
 7
              (Court concludes at 10:56 a.m.)
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